



INVESTOR / SECURITIES SETTLEMENT INSTRUCTION FORM  
投資者/股票交收指示表格

Account Name 帳戶名稱: \_\_\_\_\_  
Account No. 帳戶號碼: \_\_\_\_\_

This serves as my / our instruction to:  
依本人/吾等的以下指示:

- Receive the following shares from  
接收下列證券由
- Deliver the following shares to  
撥出下列證券到

Participant Name / No. 參與者名稱/編號: \_\_\_\_\_

Investor A/C No. 投資者參與編號: \_\_\_\_\_

STOCK CODE 證券編號	STOCK NAME 證券名稱	QUANTITY 數量

The above share movement is valued on \_\_\_\_\_ (DD/MM/YYYY) and  
payment is by means of:

在 \_\_\_\_\_ (年/月/日) 把以上股票轉移及作以下金額指示:

- Deliver free of payment.  
撥出而無須付款。
- Deliver / Receipt versus payment amounting to HK\$ \_\_\_\_\_  
撥出/接收之對付金額港幣 \_\_\_\_\_。

Please debit the captioned account for any charges incurred.

請在本人/吾等的證券買賣帳戶扣除一切所須開支。

(For office use only) 公司專用  
Approved by:

\_\_\_\_\_  
Client Signature(s) 客戶簽署

\_\_\_\_\_  
AE/RO Signature 經紀/公司負責人簽署

Client Signature Verified by: \_\_\_\_\_